

AUG 27 2001

TECH CENTER 1600/2900

TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

|                        |                     |
|------------------------|---------------------|
| Application Number     | 09/470,467          |
| Filing Date            | December 22, 1999   |
| First Named Inventor   | Margolskee          |
| Group Art Unit         | 1619                |
| Examiner Name          | Jones, D.           |
| Attorney Docket Number | AP32225 070165.0556 |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>RESPONSE TO RESTRICTION REQUIREMENT |
|---|---|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |   |
|-------------------------|--|---|
| Firm or Individual name | BakerBotts LLP<br>30 Rockefeller Plaza<br>New York, NY 10112 |   |
| Signature               | <i>Carmella L. Stephens</i>                                  | Att Name: Carmella L. Stephens<br>PTO Reg: 41,328 |
| Date                    | August 16, 2001  |   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 16, 2001

|                       |                             |                      |
|-----------------------|-----------------------------|----------------------|
| Typed or printed name | Carmella L. Stephens        |                      |
| Signature             | <i>Carmella L. Stephens</i> | Date August 16, 2001 |

BAKER BOTTS LLP



RECEIVED

AUG 27 2001

TECH CENTER 1600/2900

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 695

## Complete if Known

|                      |                     |
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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-4377

Deposit  
Account  
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee (\$) | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|------------------------|----------|
| 710      | 355      | Utility filing fee     |          |
| 320      | 160      | Design filing fee      |          |
| 490      | 245      | Plant filing fee       |          |
| 710      | 355      | Reissue filing fee     |          |
| 150      | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | 20** = 0     | X              | 0        |
| Multiple Dependent | 3** = 0      | X              | 0        |

Large Entity Small Entity

| Fee (\$) | Fee (\$) | Fee Description  |
|----------|----------|--|
| 18       | 9        | Claims in excess of 20                                     |
| 80       | 40       | Independent claims in excess of 3                          |
| 270      | 135      | Multiple dependent claim, if not paid                      |
| 80       | 40       | ** Reissue independent claims over original patent         |
| 18       | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath  |          |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 130                   | 130                   | Non-English specification  |          |
| 2,520                 | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 920*                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1,840*                | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 110                   | 55                    | Extension for reply within first month                                     |          |
| 390                   | 195                   | Extension for reply within second month                                    |          |
| 890                   | 445                   | Extension for reply within third month                                     |          |
| 1,390                 | 695                   | Extension for reply within fourth month                                    | 695      |
| 1,890                 | 945                   | Extension for reply within fifth month                                     |          |
| 310                   | 155                   | Notice of Appeal   |          |
| 310                   | 155                   | Filing a brief in support of an appeal                                     |          |
| 270                   | 135                   | Request for oral hearing   |          |
| 1,510                 | 1,510                 | Petition to institute a public use proceeding                              |          |
| 110                   | 55                    | Petition to revive - unavoidable   |          |
| 1,240                 | 620                   | Petition to revive - unintentional   |          |
| 1,240                 | 620                   | Utility issue fee (or reissue)   |          |
| 440                   | 220                   | Design issue fee   |          |
| 600                   | 300                   | Plant issue fee  |          |
| 130                   | 130                   | Petitions to the Commissioner  |          |
| 50                    | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 180                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 40                    | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 710                   | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 710                   | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 710                   | 355                   | Request for Continued Examination (RCE)                                    |          |
| 900                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 695

## SUBMITTED BY

Name (Print/Type)

Carmella L. Stephens

Registration No.  
(Attorney/Agent)

41,328

## Complete (if applicable)

Telephone

(212) 408-2539

Signature

Carmella L. Stephens

Date

August 16, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.